

**PEREGRINE COMMODITIES LLC**

**COMMODITY TRADING ADVISOR**

**5626 NW 60th Street  
Kansas City, MO 64151  
(816) 728-2459**

**SUPPLEMENTAL FORMS TO OPEN A COMMODITY FUTURES  
MANAGED ACCOUNT**

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**PEREGRINE COMMODITIES LLC**  
**CONFIDENTIAL INDIVIDUAL INVESTOR INFORMATION**

National Futures Association Compliance Rule 2-30 requires that we ask our clients who are individuals for financial information and previous investment experience. Please return one questionnaire for each owner of a joint account. Use separate sheets if necessary. **If clients choose to keep certain of the items confidential from the Advisor, please mark those items “Confidential” and sign the form.**

Client Name: \_\_\_\_\_

Date of Birth: \_\_\_\_ / \_\_\_\_ / \_\_\_\_ Social Security Number/Tax I.D Number \_\_\_\_ - \_\_\_\_ - \_\_\_\_

Address: \_\_\_\_\_

Telephone Number: \_\_\_\_\_ Cell Phone Number: \_\_\_\_\_

Principal Occupation or Business \_\_\_\_\_  
**(If retired, please indicate “RETIRED”)**

Name of Employer: \_\_\_\_\_

Nature of Business: \_\_\_\_\_

Length of Time in Position: \_\_\_\_\_ Title: \_\_\_\_\_

Business Address: \_\_\_\_\_

Business Telephone: \_\_\_\_\_

E-Mail Address: \_\_\_\_\_

Estimated Annual Income: \_\_\_\_\_

Estimated Liquid Net Worth (The excess of total assets (excludes home, furnishings, and automobiles and any other asset that can't be converted to cash within 30 days) over total liabilities): \_\_\_\_\_

Previous Investment and Futures Trading Experience:

Type of investment experience	Number of years	Type of investment experience	Number of years
Stocks/Stock Options	_____	Real Estate	_____
Bonds	_____	Futures/Options on Futures	_____
Annuities	_____	Spot Forex	_____
Mutual Funds	_____	Other ( <b>Specify Below</b> )	_____

Are you a citizen of the United States?: YES ☐ NO: ☐ If No, indicate citizenship: \_\_\_\_\_

I represent that the information recorded on this Confidential Investor Information questionnaire is true and accurate. I am aware that futures trading involves a high degree of risk.

\_\_\_\_\_  
Print Name

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

**PEREGRINE COMMODITIES LLC**  
**CONFIDENTIAL ENTITY INVESTOR QUESTIONNAIRE**

National Futures Association Compliance Rule 2-30 requires that we ask our clients who are entities for certain information about the customer. Please return one questionnaire for each entity owner. Use separate sheets if necessary. **If clients choose to keep certain of the items confidential from the Advisor, please mark those items “Confidential” and sign the form.**

Entity Name: \_\_\_\_\_

Tax I.D. Number \_\_\_\_\_ - \_\_\_\_\_

Nature of Business: \_\_\_\_\_

Length of Time in Business: \_\_\_\_\_

Business Address: \_\_\_\_\_

Business Telephone: \_\_\_\_\_

E-Mail Address: \_\_\_\_\_

Estimated Annual Income for this Year: USD \$ \_\_\_\_\_ Prior Year Income: USD \$ \_\_\_\_\_

Estimated Net Assets: USD \$ \_\_\_\_\_ Estimated Net Worth USD \$ \_\_\_\_\_

Previous Investment and Futures Trading Experience:

Type of investment experience	Number of years	Type of investment experience	Number of years
Stocks/Stock Options	_____	Real Estate	_____
Bonds	_____	Futures/Options on Futures	_____
Annuities	_____	Spot Forex	_____
Mutual Funds	_____	Other ( <b>Specify Below</b> )	_____

Is your business organized in the United States? YES ☐ NO: ☐ If No, indicate country: \_\_\_\_\_

I represent that the information recorded on this Confidential Investor Information questionnaire is true and accurate. I am aware that futures trading involves a high degree of risk.

\_\_\_\_\_  
Print Name

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

**PEREGRINE COMMODITIES LLC**  
**QUESTIONNAIRE FOR CORPORATIONS, PARTNERSHIPS, LLCs, AND TRUSTS**

Is Client a commodity pool?    ☐ YES            ☐ NO

If **no** (Client is not a commodity pool), please provide the basis for determination that Client is not a commodity pool:

☐ Client does not solicit, accept, or receive from others, funds, securities, or property, either directly or through capital contributions, the sale of stock or other forms of securities, or otherwise, for the purpose of trading in any commodities, futures, or options on futures, for future delivery on or subject to the rules of any contract market.

☐ Entity does not have and does not solicit US residents or citizens as Investors.

☐ Other (specify). Client is not a commodity pool because:

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Please also provide the number of Client's ultimate beneficial owners as of the date this Advisory Agreement is executed:

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If **yes** (Client is a commodity pool), please provide either:

☐ The commodity pool operator's NFA ID Number: \_\_\_\_\_; or,

☐ The basis for the commodity pool operator's exemption from registration. The commodity pool operator is exempt from registration as a CPO because (specify):

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**PEREGRINE COMMODITIES LLC  
LIMITED POWER OF ATTORNEY**

The undersigned client hereby constitutes, appoints, and authorizes Peregrine Commodities LLC, as client's true and lawful agent and attorney-in-fact, in client's name, place, and stead, to buy, sell (including short sales), trade, and otherwise acquire, dispose of, and deal in commodities, futures, options on futures, and other commodity interests, on margin or otherwise, on United States and foreign exchanges. Client hereby gives and grants to Peregrine Commodities LLC, the CTA, full power and authority to act for client and on client's behalf to do every act and thing whatsoever requisite, necessary, or appropriate to be done in connection with this power of attorney as fully and in the same manner and with the same force and effect as client might or could do if personally present, and client hereby ratifies all that Peregrine Commodities LLC may lawfully do or cause to be done by virtue of this power of attorney. Client hereby ratifies and confirms any and all transactions heretofore made by Peregrine Commodities LLC for the account.

**For Entity Clients:**

**For Individual/Joint Clients:**

\_\_\_\_\_  
Client Name

\_\_\_\_\_  
Client Name (Print)

\_\_\_\_\_  
By (Print Name)

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Title

\_\_\_\_\_  
Date

\_\_\_\_\_  
Authorized Signatory

\_\_\_\_\_  
Second Client Name (Joint Account)

\_\_\_\_\_  
Date

\_\_\_\_\_  
Second Client Signature (Joint Account)

\_\_\_\_\_  
Date (Joint Account)

**PEREGRINE COMMODITIES LLC  
AUTHORIZATION TO PAY FEES**

The undersigned client(s) ("Client") hereby authorizes \_\_\_\_\_, the futures commission merchant ("FCM"), to withdraw from the client's commodity trading account with the FCM and remit directly to Peregrine Commodities LLC ("the Advisor") immediately upon receipt of a bill from the Advisor, the following fees, as applicable to the **Strategic Growth: Platinum Program**:

Please check the box for the applicable fees:

☐ an incentive fee of \_\_\_\_\_% (Indicate incentive fee %, if no incentive then put in 0) of the monthly new net trading profits (as described in the Advisory Agreement and CTA Disclosure Document signed by the Client), to be paid after each calendar month.

☐ a management fee of 1/12<sup>th</sup> of \_\_\_\_\_% (Indicate management fee %, if no management fee then put in 0) per month of the 'assets under management' (as described in the Advisory Agreement and CTA Disclosure Document signed by the Client) in the account as of the end of each month.

Client acknowledges client's ongoing responsibility to review regularly all customer account records and statements from the FCM and from the Advisor since such records generally will be conclusive and binding on client unless prompt written objection from client is received by the FCM or the Advisor.

**For Entity Clients:**

**For Individual/Joint Clients:**

\_\_\_\_\_  
Client Name

\_\_\_\_\_  
Client Name (Print)

\_\_\_\_\_  
By (Print Name)

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Title

\_\_\_\_\_  
Date

\_\_\_\_\_  
Authorized Signatory

\_\_\_\_\_  
Second Client Name (Joint Account)

\_\_\_\_\_  
Date

\_\_\_\_\_  
Second Client Signature (Joint Account)

\_\_\_\_\_  
Date (Joint Account)

**PEREGRINE COMMODITIES LLC  
INITIAL TRADING LEVEL AGREEMENT**

With respect to the Advisory Agreement (the “Agreement”) executed by the undersigned Client and Peregrine Commodities LLC (the “Advisor”), this letter will confirm the following pursuant to the Agreement. Client has closely considered the risks associated with commodities and futures trading and in light of the Client’s current financial status has elected to have an account traded pursuant to the Advisor’s (check one):

☐ Strategic Growth: Elite Program,      **OR**      ☐ Strategic Growth: Platinum Program

Actual Funds Deposited by Client into FCM held trading account	Nominal Account Size (i.e., Actual Trading Level needed to trade Client’s account)	Notional Funds (equals Nominal Account Size less Actual Funds)

The difference between the Nominal Account Size and the Actual Funds will be the amount of Notional Funds. Client understands that the level of trading is based upon the Nominal Account Size. The Advisor will trade accounts pursuant to a flat nominal trading level. This level will stay the same each day throughout each month unless the Nominal Account Size is changed in writing signed by the Client and the Advisor.

Actual cash additions to the account will not increase the Nominal Account Size and actual cash withdrawals made from the account will not reduce the Nominal Account Size. With regards to profits and losses, profits made in the account will not increase the Nominal Account Size, and losses in the account will not decrease the Nominal Account Size.

Client understands that possible margin calls may require that additional actual funds be deposited into the trading account. The trading account will experience greater volatility as measured by assets actually deposited in the account than an account with actual funds that equal the nominal account size.

Client understands that actual losses in the account will be greater as measured by a percentage of assets actually deposited in the trading account, as compared to the percentage loss obtained when using the nominal account size.

Any changes to this Initial Trading Level Agreement must be made in writing, signed by the Client and the Advisor, and contain an effective date. **NO VERBAL CHANGES ARE PERMITTED.**

For Entity Clients:

For Individual/Joint Clients:

Peregrine Commodities LLC

\_\_\_\_\_  
Client Name

\_\_\_\_\_  
Client Name

\_\_\_\_\_  
By (Print Name)

\_\_\_\_\_  
By (Print Name)

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Title

\_\_\_\_\_  
Title

\_\_\_\_\_  
Date

\_\_\_\_\_  
Authorized Signatory

\_\_\_\_\_  
Authorized Signatory

\_\_\_\_\_  
Second Client Name (Joint Account)

\_\_\_\_\_  
Date

\_\_\_\_\_  
Date

\_\_\_\_\_  
Second Client Signature (Joint Acct)

\_\_\_\_\_  
Date

## ADVISORY AGREEMENT

This ADVISORY AGREEMENT is entered into as of this \_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, by and between Peregrine Commodities LLC, a Missouri Limited Liability Company (the "Advisor"), located at 5626 NW 60th Street, Kansas City, MO 64151, and \_\_\_\_\_ ("Client") who resides at \_\_\_\_\_ City of \_\_\_\_\_ (State) \_\_\_\_\_ (Zip) \_\_\_\_\_, (Country) \_\_\_\_\_.

WHEREAS the Client wishes to retain the Advisor to manage one or more commodity trading accounts for the Client (collectively, the "Account") pursuant to the Advisor's (Check one):

☐ Strategic Growth: Elite Program, or ☐ Strategic Growth: Platinum Program

Client will establish for that purpose a trading account with \_\_\_\_\_ ("Futures Commission Merchant"); and the Client hereby acknowledges receiving, reading, and understanding the Advisor's commodity trading advisor Disclosure Document dated September 21, 2024 (the "CTA Document"), as filed with and accepted by the National Futures Association ("NFA").

NOW THEREFORE, the parties agree as follows:

THIS AGREEMENT IS ENTERED INTO BASED UPON THE FOLLOWING REPRESENTATIONS:

The Client represents that he has speculative capital for the principal purpose of investing in futures and options on futures contracts and has been informed and is fully cognizant of the possible high risks associated with such investments.

IT IS MUTUALLY AGREED THAT:

1. The Client shall deposit with Futures Commission Merchant ("FCM") funds and/or securities in the amount of \$ \_\_\_\_\_. The relationship between the FCM and Client is not and shall not become the responsibility of the Advisor. The Advisor is not liable for the executions of transactions (once the orders are placed with the FCM). The FCM is solely responsible for the transmission of daily transaction statements and monthly activity statements. The FCM is also responsible for the custody over the Client funds.

The client requests that the FCM furnish copies of all daily confirmations and monthly activity statements to the Advisor.

2. In the Strategic Growth: Elite Program, the Advisor, as compensation for advisory services, will charge clients a \$50 per round-turn commission (\$25 will be deducted from the client account upon entering a trade and \$25 will be deducted upon exiting a trade). The FCM will charge the commission to the client account and would maintain a portion of the commission, approximately 10%.

The Advisor reserves the right to share any portion of the commissions with third parties in accordance with regulatory and industry standards.

In the Strategic Growth: Platinum Program, the Advisor, as compensation for advisory services, will charge a monthly management fee of up to 1/12th of 2% (2% per annum) of "Assets under Management" at the beginning of each month. "Assets under Management" is defined as the



nominal account size which the Advisor bases its trading decisions (i.e., determines the number of trades to execute), and which remains constant unless changed via written agreement signed by the Client and acknowledged by the Advisor. The nominal trading level is not increased by additions or profits, and is not decreased by withdrawals or losses. Additions and withdrawals during the month are pro-rated for management fee calculations.

rawals during the month are pro-rated for management fee calculations.

The Advisor may share a portion of its management fees with third parties in accordance with regulatory standards.

In the Strategic Growth: Platinum Program, the Advisor, as compensation for advisory services, will charge a monthly incentive fee, of up to 20% based on New Net Trading Profits for the month. New Net Trading Profits for the month shall include: (i) the net of realized profits and losses on futures and options positions (i.e., less commissions, clearing fees, exchange fees, brokerage fees, give-up fees, and NFA fees) resulting from all trades closed out during the month, plus (ii) the change in unrealized profit or loss on open futures and open options trades as of the close of the month as compared to the prior month end; minus: (i) the change in accrued commissions on open trades as of the close of the month as compared to the prior month end, (ii) the monthly management fees, and (iii) other expenses incurred during the month directly relating to the trading program. For purposes of calculating the Advisor's incentive fees for a month, New Net Trading Profits must overcome cumulative Net Trading Losses carried forward from prior months. For example, if the Advisor had Net Trading Losses from the prior month and Net Trading Profits for the current month, the Advisor would combine the Net Trading Profits and Losses for the two months to determine the base of the incentive fee.

The Advisor may share a portion of its incentive fees with third parties in accordance with regulatory standards.

3. The Advisor will trade commodities, futures contracts and options on futures contracts and will have the exclusive authority to issue all necessary instructions to the Broker. All such transactions shall be for the account and risk of the Client.
4. The Advisor will seek capital appreciation in the Client's account by trading speculatively in commodities, futures contracts, and options on futures on both domestic and international exchanges.
5. The Client may withdraw capital from the account at any time. The Client should provide the Advisor with 10 business days advance written notice of such intention to withdraw funds so the Advisor may adjust the Client account accordingly. If the Client does not provide advance notice the Client's account could suffer unanticipated losses. The Client may add capital to the Account at any time with the prior approval of the Advisor and shall promptly notify the Advisor of any such intended action.
6. This power of attorney shall remain in full force and effect unless and until this account is closed; or until the Advisor revokes its discretion, in writing, to the Client; or until such revocation is received by the Advisor, in writing, from the Client. Upon receipt of the revocation notice, Client must instruct Advisor, in writing, on how to handle any open positions. The Client may request one of the following from the Advisor: 1) The Advisor should not initiate any new positions and should immediately liquidate all open positions by the close of the business day; or, 2) The Advisor should not initiate any new positions and should liquidate all open positions over a period of time in accordance with the Advisor's Program; or, 3) The Advisor should not initiate any new positions and should not liquidate any open positions as Client would assume the responsibility

for offsetting open positions. Upon termination of this agreement, the subsequent management of the Account shall be the sole responsibility of the Client. If Client does not provide written instructions, the Advisor shall follow option number 2).

7. The Advisor's recommendations and authorizations shall be for the account and risk of the Client. The Advisor makes no guarantee that any of its services will result in a profit to the Client. The Client has discussed the risks of futures trading with the FCM and understands those risks. The Client assumes the responsibility of losses that may be incurred.
8. The Client agrees to execute a "Limited Trading Authorization & Power of Attorney" with his/her FCM authorizing the Advisor to enter orders for commodities, futures contracts and options on futures for the Client's account.
9. The Client agrees to promptly review all account statements from the FCM, and any statements that may be sent to the Client by Advisor. Such statements shall be binding on the Client unless a prompt written objection from the Client is received by the FCM or the Advisor, as the case may be. The Client acknowledges that the Advisor has no obligation to provide any statements or other reports relating to the Account.
10. Client represents that it will not place any trades into the account directed by the Advisor and will not authorize any party other than the Advisor to place trades in the account directed by the Advisor.
11. Client represents that neither the Advisor nor its principal have made any guarantee as to profitability. The Client understands that any actual past performance results it may have received is not a guarantee that the Client may or will realize the same or better results in the future since PAST PERFORMANCE IS NOT NECESSARILY INDICATIVE OF FUTURE RESULTS.
12. The services of the Advisor are not exclusive to the Client, and the Advisor shall be free to have other clients, and render trading advice to such clients, including the same advice as given to other clients. The Client acknowledges all advice from the Advisor is the sole property of the Advisor, and may not be revealed to others by the Client.
13. If Client is a commodity pool, its operator (as defined in CFTC Regulations) is either registered with the CFTC and a Member of NFA, or exempt from such registration. If exempt from registration the Client has made the appropriate exemption filings with the appropriate regulatory agency.
14. Client understands that the Advisor may charge other clients fees that are different from and possibly more favorable than the fee structure arrived at between the Advisor and Client.
15. The Advisor will not be liable to the Client or to others except by reason of acts constituting willful malfeasance or gross negligence as to its duties herein, and disclaims any liability for human or machine errors in orders to trade or not to trade Commodities or futures contracts.
16. In the event that any provisions of this Agreement are invalid for any reason whatsoever, all other conditions and provisions of the Agreement shall, nevertheless, remain in full force and effect.
17. No persons may make any representation about this Agreement or the Advisor except those stated in the Disclosure Document of the Advisor, and this Advisory Agreement. Any such

representations are to be considered false, and the Client will not hold the Advisor liable for any such false claims, statements, or representations.

18. By depositing funds with the FCM, the Client acknowledges and accepts the propriety of the Advisor's Trading Program and his/her suitability to bear the economic risk of loss in commodity trading in Commodity Interests.
19. The Client agrees to execute any and all documents required by the FCM, the Advisor, and or any regulatory agency that has jurisdiction over the Account, as may be necessary to open and maintain the Account and to provide the Advisor the authority to trade and manage the Account.
20. The Advisor shall maintain its registration as a Commodity Trading Advisor with the Commodity Futures Trading Commission and its Membership with National Futures Association.
21. This Agreement may not be assigned by either party without the prior written consent of the other party. This Agreement shall inure to the benefit of the parties hereto and their respective successors and assigns.
22. This Agreement shall be construed in accordance with the laws of the State of Missouri. Client agrees that any potential dispute arising out of this agreement, if litigation is necessary, will be disputed in the State of Missouri.

IN WITNESS WHEREOF, the parties have executed this Agreement as of the day and year first written above.

Peregrine Commodities LLC

By (Print Name): \_\_\_\_\_

Signature: \_\_\_\_\_

Title: \_\_\_\_\_

**For Entity Clients:**

**For Individual/Joint Clients:**

\_\_\_\_\_  
Client Name

\_\_\_\_\_  
Client Name (Print)

\_\_\_\_\_  
By (Print Name)

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Title

\_\_\_\_\_  
Date

\_\_\_\_\_  
Authorized Signatory

\_\_\_\_\_  
Second Client Name (Joint Account)

\_\_\_\_\_  
Date

\_\_\_\_\_  
Second Client Signature (Joint Account)

\_\_\_\_\_  
Date (Joint Account)